

# Magnitude of urban heat islands largely explained by climate and population

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**Urban heat islands (UHIs) exacerbate the risk of heat-related mortality associated with global climate change. The intensity of UHIs varies with population size and mean annual precipitation, but a unifying explanation for this variation is lacking, and there are no geographically targeted guidelines for heat mitigation. Here we analyse summertime differences between urban and rural surface temperatures ( $\Delta T_s$ ) worldwide and find a nonlinear increase in  $\Delta T_s$  with precipitation that is controlled by water or energy limitations on evapotranspiration and that modulates the scaling of  $\Delta T_s$  with city size. We introduce a coarse-grained model that links population, background climate, and UHI intensity, and show that urban-rural differences in evapotranspiration and convection efficiency are the main determinants of warming. The direct implication of these nonlinearities is that mitigation strategies aimed at increasing green cover and albedo are more efficient in dry regions, whereas the challenge of cooling tropical cities will require innovative solutions.**

Cities modify their surface energy balance and generally exhibit higher air and surface temperatures than surrounding rural areas<sup>1–3</sup>. This phenomenon, known as the UHI effect, poses a threat to human health: more than half of the world's population now lives in cities<sup>4</sup> and warming can increase morbidity and mortality<sup>5,6</sup>, especially during heat waves<sup>7</sup>. UHIs have been extensively studied in North America<sup>2,8</sup>, Europe<sup>9</sup> and China<sup>10,11</sup>, and globally<sup>12,13</sup>. A link between urbanization-induced warming and city size as measured by its population was first proposed in 1973 on the basis of nighttime air temperature data<sup>1</sup>. With the proliferation of remotely sensed land surface-temperature measurements, similar relations have been proposed at the global scale<sup>13</sup>. Local hydroclimatic conditions also contribute to the intensity of UHIs<sup>2,14</sup>, with rising mean annual precipitation causing an increase in  $\Delta T_s$ , a proxy for urban warming with respect to the more efficient cooling of the surrounding rural surfaces. Given the complexity of urban systems, it remains difficult to identify and isolate the causes of UHIs<sup>3,15</sup> and the factors that contribute to the observed differences in  $\Delta T_s$  across city sizes and hydroclimatic conditions continue to be the subject of enquiry and debate<sup>2,13,14,16</sup>.

At night, the intensity of UHIs is largely controlled by urban-rural differences in surface geometry, thermal properties, and anthropogenic heat<sup>3</sup>. The causes of daytime  $\Delta T_s$  are fundamentally different; both changes in convection efficiency associated with surface roughness<sup>2</sup> and changes in the partitioning of latent or sensible heat fluxes associated with local climate-vegetation characteristics<sup>10,14,16</sup> have been proposed to be the main drivers of warming. Some studies suggested that  $\Delta T_s$  increases linearly with precipitation because of changes in aerodynamic resistance, as cities in dry climates dissipate heat more efficiently than the barren surroundings, while the opposite is observed in humid regions<sup>2</sup>. However, the validity of such a linear relation has been questioned. Remote sensing measurements from 32 cities in China hint at the existence of a precipitation threshold above which  $\Delta T_s$  is insensitive to precipitation changes<sup>10</sup>. In addition, the aerodynamic explanation of UHIs is inconsistent with the observed power law scaling of urban warming with population, as an increase in building height (associated with larger city sizes<sup>17</sup>) should enhance convection

and increase cooling rather than warming. However, the reasoning that 'rougher' cities with taller and denser buildings are more efficient at exchanging heat and momentum<sup>2</sup> is contrary to the observed decrease in roughness length with urban density<sup>18</sup>. Numerical simulations have confirmed possible nonlinear responses of  $\Delta T_s$  to precipitation<sup>16</sup> but, unlike previous modelling results, the variability of  $\Delta T_s$  has been explained in these simulations by changes in rural temperature<sup>16</sup> rather than convection efficiency<sup>2</sup>. In short, the causal links between  $\Delta T_s$ , population, city texture, and climate remain unclear and appear to be complicated by hidden thresholds. As a consequence, it remains difficult to identify general guidelines for heat mitigation<sup>15</sup>, and there is a fundamental knowledge gap in understanding how the cooling effects of urban vegetation<sup>19</sup> and albedo management<sup>2</sup> vary across cities and climatic conditions. A case in point is the Italian city of Matera which, despite its dense urban fabric and the lowest green cover in Europe (less than 1% of the total area<sup>20</sup>), exhibits a negative UHI<sup>21</sup>, whereas Singapore, which has more than 50% green spaces<sup>22</sup>, shows a daytime  $\Delta T_s$  of +1.9 °C<sup>21</sup>. Hence, the efficiency of heat mitigation strategies cannot be inferred directly from studies on a few selected cities, because there is no adequate basis for generalization. More broadly, such global issues need to be tackled with a holistic perspective to put existing results into geographic context and transfer knowledge across climatic gradients, which frames the scope of this work.

Here, we analyse surface temperature anomalies in more than 30,000 cities<sup>21</sup> and use them to develop a mechanistic coarse-grained model that links  $\Delta T_s$  to population ( $N$ ) and mean annual precipitation ( $P$ ), where  $N$  is an aggregate measure for urban infrastructure size and  $P$  is a proxy for time-integrated surface-atmosphere exchanges and climatic patterns. The model is based on the fact that, as a city grows, its structure and function are predictably modified<sup>23</sup>. Different building materials are used, heat storage and evapotranspiration fluxes are altered, and human activity and energy consumption increase. The urban fabric (for example, area, materials, mean building height, height-to-width ratio of street canyons) also changes, thus altering the reflectivity and emissivity of the city surface as well as its roughness and convection efficiency relative to the surrounding (often vegetated) areas. Despite

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the diversity and complexity of urban systems, universal scaling laws that link urban population to infrastructure size and socio-economic metrics have been confirmed when combining data from cities across the entire globe<sup>23</sup>. Our work is motivated by a desire to use links between such established scaling laws,  $\Delta T_s$ , and climate-vegetation characteristics to address urban-induced warming globally. We show that, when coupled to energy and radiative transfer principles, the aforementioned scaling laws provide logical bases to coarse-grained representations of UHIs. This approach constitutes a major departure from empirical analyses that lump different mechanisms into statistical correlations, for example, between  $\Delta T_s$  and population or urban texture<sup>1,12</sup>. Likewise, it differs from the current state-of-the-science being employed in climate simulations that resolve the physics of energy exchanges and atmospheric flows at the street-canyon and building level but cannot capture emergent large-scale phenomena associated with population and infrastructure dynamics. Our findings explain the global variability of UHIs, complement existing micro-scale urban climate studies<sup>24</sup>, and provide guidance for increasing efforts aimed at greening and cooling world cities, especially to the large number of metropolises that have not benefited from intensive observational or modelling studies. Also, the approach here offers guidance on where detailed observational and simulation studies can be more effective so as to address UHIs across climatic gradients and city sizes. The main novelty of the proposed approach is the inclusion of emergent behaviours of urban-biosphere systems in a coarse-grained model that explains the observed global patterns of  $\Delta T_s$ . These patterns are then translated into general guidelines for the planning and retrofiting of cities<sup>5,25</sup>.

### Global patterns of urban warming

The focus of our analysis is on mean daily urban-rural surface temperature differences ( $\Delta T_s$ ) during summer, when the intensity of UHIs and the risk of heat-related mortality are expected to be highest<sup>8,13</sup>. Also, any links to precipitation are likely to be more evident during summer because vegetation is active<sup>16</sup>. Consistent with prior results<sup>10,16</sup> derived from a smaller dataset, we find a nonlinear relation between  $\Delta T_s$  and mean annual precipitation (Fig. 1a). The reported linear increase<sup>2</sup> holds for low precipitation regimes, but  $\Delta T_s$  saturates at high precipitation values exceeding  $P \approx 1,500 \text{ mm yr}^{-1}$ . A nonlinear response between  $\Delta T_s$  and background  $T_s$  is also observed (Fig. 1b), with peak warming occurring at  $T_s \approx 22^\circ\text{C}$  and decreasing UHI intensities for warmer climates. A positive correlation between daytime surface UHI intensity and mean air temperature ( $T_a$  between  $-10$  and  $30^\circ\text{C}$ ) has been reported<sup>10</sup>, which suggests that urban warming might intensify under future climate change scenarios<sup>26</sup>. However, an opposite correlation was observed during nighttime<sup>10</sup> and during the day in 54 US cities<sup>27</sup>. The global results here show that  $\Delta T_s$  decreases for  $T_s$  higher than about  $25^\circ\text{C}$ . Unlike previous results, which suggested that the scaling  $\Delta T_s \sim N^\delta$  is invariant with climate<sup>2</sup>, precipitation is shown to introduce appreciable corrections to the observed exponent  $\delta$  with a weakening of such scaling under wet conditions (Fig. 1c). Specifically,  $\delta$  is 0.21 globally but it varies between 0.15 and 0.34 under wet and dry conditions, respectively. These results agree with early work on the effect of soil moisture on the relation between UHI intensity and population<sup>28</sup>, and the values of  $\delta$  are in agreement with prior scaling exponents<sup>13</sup>.

The observed global variability of  $\Delta T_s$  with mean annual precipitation  $P$  and urban population  $N$  can be expressed mathematically as (see derivation in the Supplementary Information):

$$\Delta T_s(P, N) = \frac{1}{f_s(P) - \frac{\gamma}{a_T} f_a(P)} \Delta S(P, N) \quad (1)$$

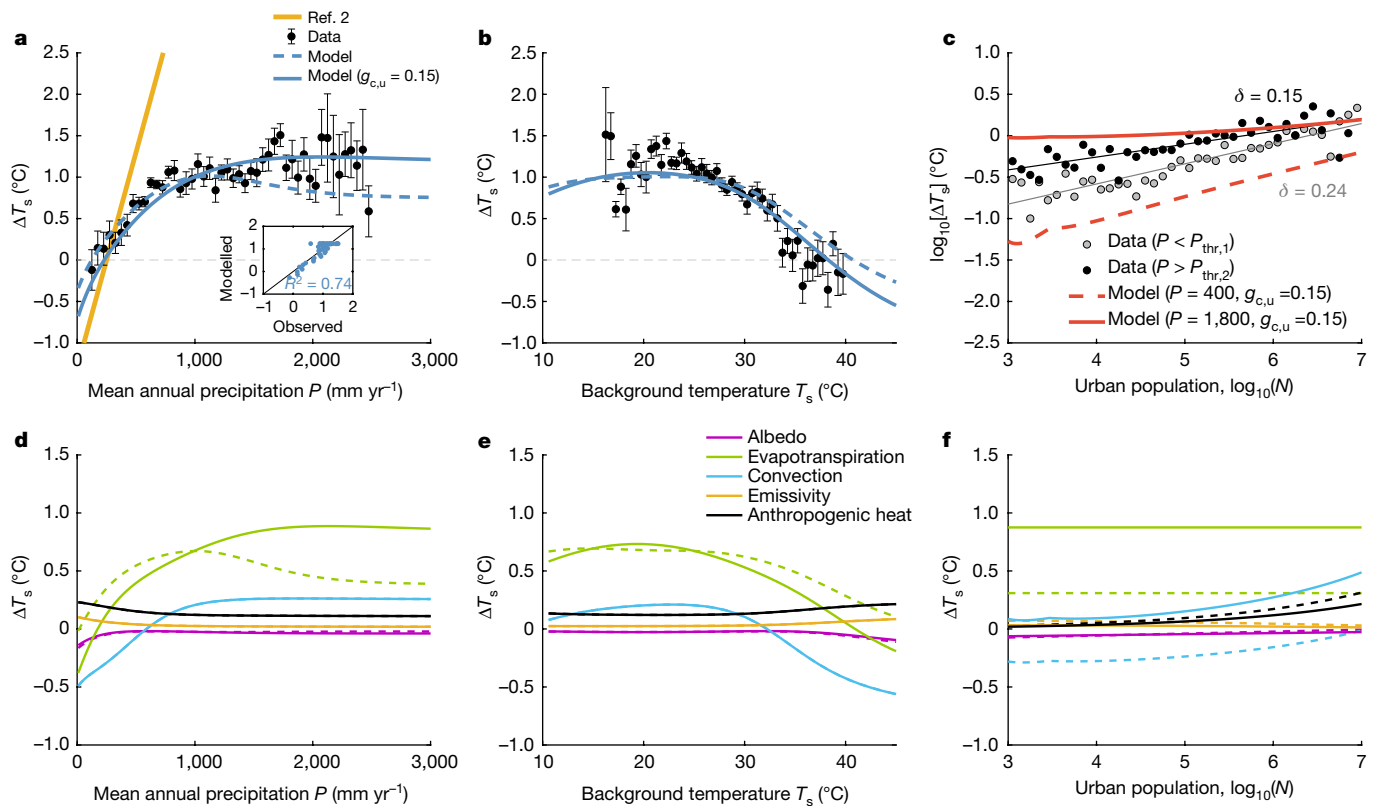
where  $f_s^{-1}$  and  $f_a^{-1}$  (in  $\text{K W}^{-1} \text{ m}^{-2}$ ) represent the surface and air temperature sensitivities to  $1 \text{ W m}^{-2}$  energy forcing,  $\gamma$  and  $a_T$  are phenomenological parameters that account for the coupling between  $T_s$  and  $T_a$ , and  $\Delta S$  (in  $\text{W m}^{-2}$ ) is the energy that forces perturbation due to urban-induced changes in surface albedo ( $\Delta\alpha$ ), emissivity ( $\Delta\epsilon_s$ ),

evapotranspiration ( $\Delta\text{ET}$ ), convection efficiency ( $\Delta r_a$ ), and anthropogenic heat ( $\Delta Q_{\text{ah}}$ ). Equation (1) provides a parsimonious description of the coupled urban-biosphere system (Supplementary Fig. 1) based on general scaling laws for urban form and function and global climate relations (see Methods and Supplementary Information for details). The proposed approach is deemed ‘coarse-grained’ because ‘fine-grained’ properties of cities and rural areas are smoothed over in space and time to focus on collective phenomena and climatic patterns rather than microscopic (that is, building- to block-scale) processes. The validity of the model for the purposes of this study can be evaluated by its ability to recover the observed patterns of changes in  $\Delta T_s$  with simultaneous changes in background climate and population (Fig. 1a–c, Supplementary Fig. 2). The model has a good fit and accuracy when predicting the observed trend of global UHIs across precipitation gradients, closely matching the 1:1 line and accounting for 74% of the variation (inset in Fig. 1a). The agreement between observed and modelled ET (Supplementary Fig. 3) and the modelled impact of background temperature and wind speed on urban warming (Fig. 1b and Supplementary Fig. 4, respectively) are also acceptable, thus confirming the robustness of the approach here. A conceptual analysis of the variability of  $\Delta T_s$  using equation (1) suggests that the observed nonlinear responses of UHIs to background climate (Fig. 1) arise from distinct mechanisms, the relative contributions of which vary with precipitation<sup>2,29</sup> as now discussed using the combined data and model results.

The shape of the  $P$ – $\Delta T_s$  relation is largely controlled by changes in ET. In wet climates, energy limitations define an upper bound to differences in ET between urban and rural environments; in arid regions, water limitations reduce the magnitude of rural ET, thus limiting the contribution of  $\Delta\text{ET}$  to  $\Delta T_s$  (Fig. 1a, d). In dry climates, when the water budget of urban vegetation is supplemented by irrigation,  $\Delta T_s$  becomes negative, creating an ‘oasis’ effect<sup>30–32</sup>. The amount of urban vegetation also plays a role, as estimates of urban green cover fractions ( $g_{c,u}$ ) from Europe (EU) and South East Asia (SEA) reveal a substantially larger green area in cities located in high precipitation regimes (see Methods). This dependence of urban greenery on hydroclimate, together with changes in air specific humidity with precipitation gradients (see results in Supplementary Information), explain the concavity of the  $P$ – $\Delta T_s$  relation in Fig. 1a.

As proposed elsewhere<sup>2,7</sup>, urban-rural differences in convection efficiency also contribute to city cooling in dry and warm climates. Given that the height of natural vegetation increases logarithmically with precipitation<sup>33</sup>, cities in dry regions are aerodynamically rougher than the surrounding rural surfaces (which are characterized by deserts or short vegetation), and heat dissipation by convection could be more efficient (Supplementary Fig. 5). Conversely, cities in wet climates are often surrounded by tall forests that exchange heat more efficiently than dense building blocks. In general, the increase in convection efficiency of rural or vegetated surfaces with higher precipitation increases the energy redistribution factors  $f_s$  and  $f_a$  (through the aerodynamic resistance  $r_a$ , see Supplementary Information), thus damping the influence of urban-rural differences on the magnitude of  $\Delta T_s$ .

Regarding surface albedo, both positive and negative urban-rural differences ( $\Delta\alpha$ ) have been reported for single cities<sup>3</sup>, but previous urban research has predominantly focused on cities in temperate mid-latitudes. Our global analysis suggests that urban albedo has a notable negative dependence on precipitation, and that overall cities have a higher albedo than their rural surroundings (Supplementary Fig. 6). Albedo difference therefore contributes to a reduction in the intensity of UHIs, especially in dry regions where the oasis effect is observed. Sparse vegetation associated with low precipitation generates barren rural areas with lower albedo and higher surface temperatures than cities<sup>2,34,35</sup>. This result is consistent with the reported daytime cooling of  $0.7^\circ\text{C}$  associated with a reduction in net radiation loading reported for cities in the Southern United States<sup>2</sup> and the negative UHIs observed in India during the pre-monsoon summer<sup>35</sup>. Given the observed decrease in background albedo with increasing precipitation,  $\Delta\alpha$  contributes to cooling in wet regions but this contribution



**Fig. 1 | Effect of background climate and population size on urban warming and its components.** **a–c**, Observed (markers) and modelled (lines) nonlinear relations between  $\Delta T_s$  and mean annual precipitation  $P$  (**a**), background temperature  $T_s$  (**b**), and urban population  $N$  (**c**). **d–f**, The attribution of  $\Delta T_s$  to changes in surface albedo ( $\Delta\alpha$ ), evapotranspiration ( $\Delta ET$ ), convection efficiency ( $\Delta r_a$ ), surface emissivity ( $\Delta\epsilon_s$ ), and anthropogenic heat ( $\Delta Q_{ah}$ ) as a function of  $P$  (**d**),  $T_s$  (**e**), and  $N$  (**f**). **a**, Inset, a 1:1 comparison of observed and modelled  $\Delta T_s$ . The coefficient of determination  $R^2$  for this 1:1 comparison is also shown. **a, b, d, e**, Model results for constant urban green cover  $g_{c,u} = 0.15$  (solid lines) and  $g_{c,u}$

proportional to  $P$  (dashed lines). Model results were obtained considering an urban irrigation index  $I_{r,u} = 0.2$  (see Supplementary Information for details). A linear regression summarizing other datasets for daytime UHIs<sup>2</sup> is shown in **c–f** for wet and dry conditions (solid and dashed lines, respectively). The scaling exponent  $\delta$  is calculated by fitting the observations (with  $P_{thr,1} = 700$  and  $P_{thr,2} = 1,500$  mm yr<sup>-1</sup>) while the model results are shown for comparison considering two exemplary precipitation levels ( $P = 400$  and  $1,800$  mm yr<sup>-1</sup>). Error bars indicate s.e.m.

becomes negligible when compared to the warming effect of  $\Delta ET$  and  $\Delta r_a$  (see Methods). As a global average, precipitation decreases with increasing summertime surface temperature above 20 °C (that is, not surprisingly, precipitation peaks in the tropics where  $T_s$  is typically in the range of 20–30 °C throughout the year while  $T_s$  can exceed 50 °C in arid regions, see Supplementary Information) and the modelled  $P$ – $\Delta T_s$  relation translates into a decrease in UHI intensity with rising background temperature  $T_s$  (Fig. 1b, e).

Regarding the effect of city size on urban surface warming, the scaling  $\Delta T_s \sim N^\delta$  is largely controlled by changes in convection efficiency and anthropogenic heat fluxes. Compact high-rise buildings dissipate less heat than sparse low-rise structures, and the anthropogenic release of energy is higher in large, dense cities, thus causing the observed increase in urban ‘skin’ temperature with population. However, the scaling exponent cannot be explained by urban fabric and heat release alone, as  $\delta$  is modified by background climate through changes in evapotranspiration and convection efficiency that depend on precipitation. Our analysis suggests that changes in surface convection efficiency associated with urban density play a key role in regulating the magnitude of surface UHIs (Fig. 1f). This result is consistent with the fact that, on large spatial and temporal scales, changes in surface roughness and evapotranspiration efficiency have effects of similar magnitude on surface temperature differences between forested and cleared land<sup>29,36</sup>.

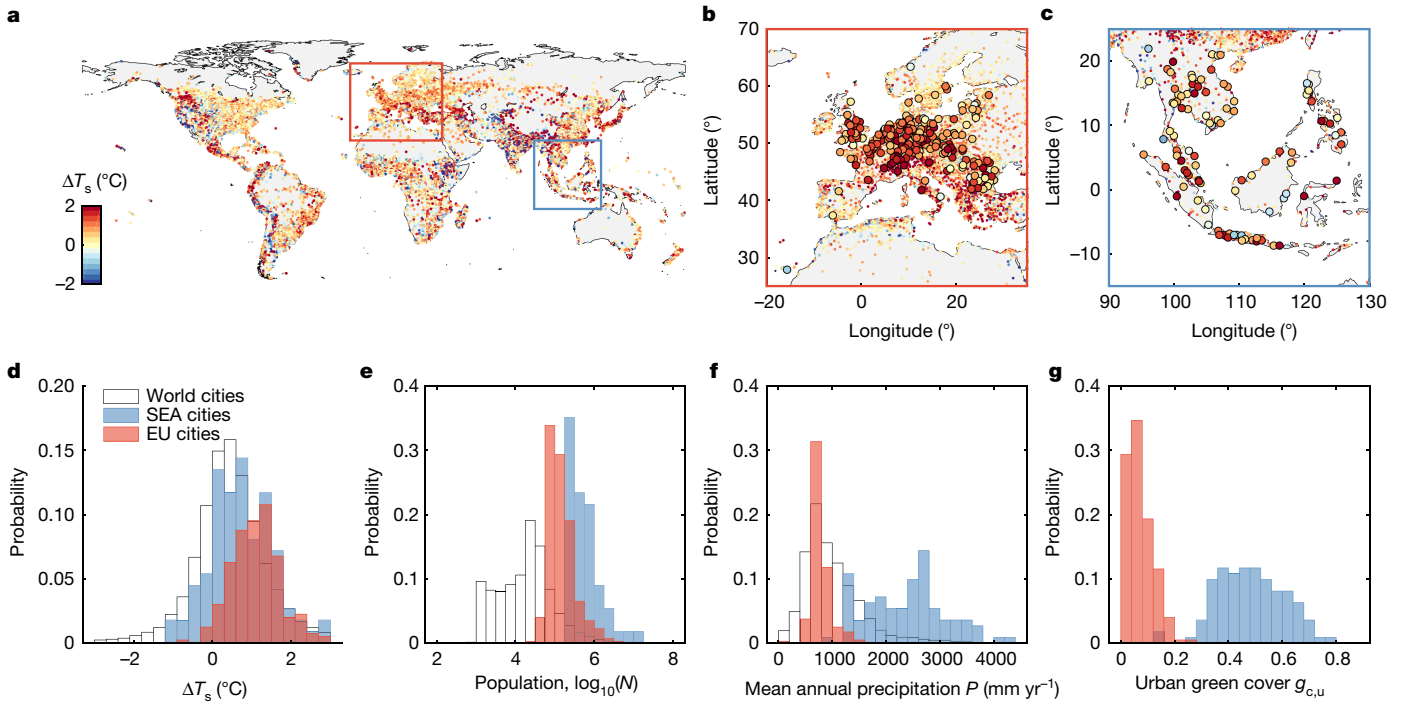
### Heat mitigation strategies

These findings provide a mechanistic basis for mitigation strategies in different cities around the world, even where the urban climate

has not been intensively studied. To this purpose, we have analysed temperature, precipitation, and green cover data for cities in two distinct climate regions for which green cover data were available: EU and SEA (Fig. 2). Despite large differences in green cover between EU ( $g_{c,u} = 0.07 \pm 0.05$ ) and SEA ( $g_{c,u} = 0.48 \pm 0.12$ ), observed  $\Delta T_s$  values are comparable in the two regions ( $1.1 \pm 0.6$  and  $0.8 \pm 0.9$  °C in EU and SEA, respectively). This evidence suggests that efforts to reduce warming by greening cities might be ineffective under some climatic conditions. Although it might be unexpected, the similarity in  $\Delta T_s$  is consistent with the observed nonlinearity in the  $P$ – $\Delta T_s$  relation that is reasonably predicted by the coarse-grained model. The larger values of precipitation in SEA compared to EU ( $2,354 \pm 747$  versus  $775 \pm 186$  mm yr<sup>-1</sup>) enhance the contribution of  $\Delta ET$  to  $\Delta T_s$ . That is, rural areas in SEA are more efficiently cooled by evapotranspiration due to higher water availability than their EU counterparts, making the goal of minimizing urban–rural temperature differences harder in SEA. Juxtaposition of this finding to climatic zones means that tropical urban environments require a larger extent of green spaces to compensate for the greater reduction in latent heat fluxes caused by urbanization.

A sensitivity analysis of equation (1) to changes in urban green cover elucidates this interplay among multiple mechanisms and highlights the fundamental role of background climate for the design of strategies that use greening to mitigate the UHI intensity (Fig. 3a). In dry climates, greening can have a substantial cooling effect if urban irrigation is used<sup>19,30</sup>. In arid regions, rural land surfaces can be warmer than urban areas owing to their lower albedo, lower convection efficiency, and water-limited evapotranspiration. However, the magnitude of this





**Fig. 2 | Urban warming and green spaces in Europe and South East Asia.** **a–c**, Map of summertime UHI intensity in world cities (**a**), EU (**b**), and SEA (**c**). **d–g**, Observed probability distribution of  $\Delta T_s$  (**d**), population  $N$

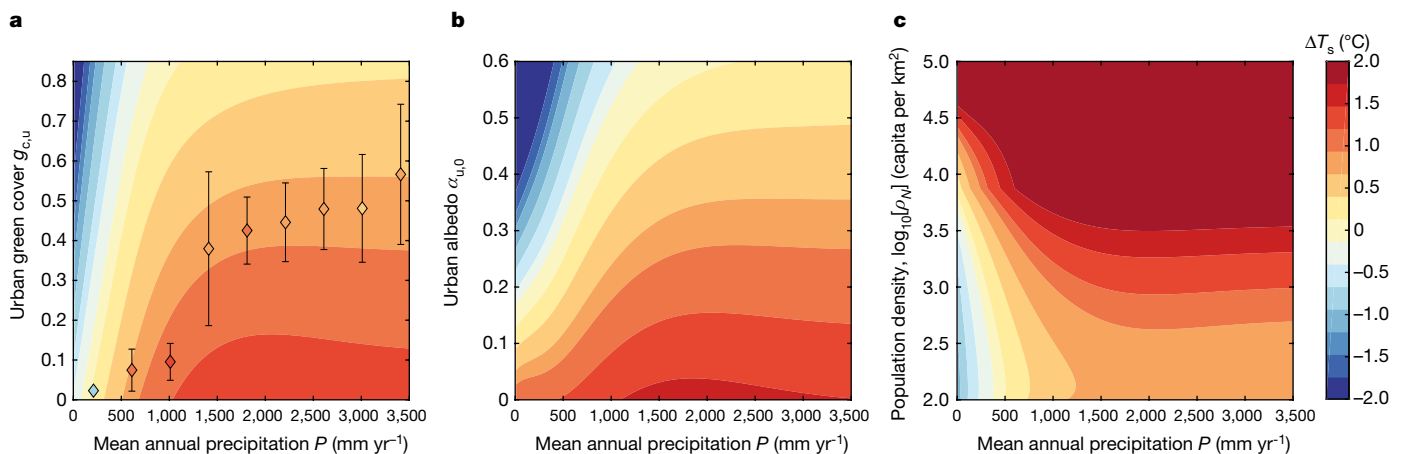
(**e**), mean annual precipitation  $P$  (**f**), and urban green cover  $g_{c,u}$  (**g**). Large circles in **b**, **c** indicate cities with green cover data<sup>20,22</sup> used to compute the statistics in **d–g**.

oasis effect is largely controlled by the amount of urban vegetation and the level of irrigation (Fig. 3, Supplementary Fig. 7). In wet climates, vegetation is not water limited and ET is a dominant component of the rural surface energy balance<sup>35</sup>; thus, to reduce  $\Delta T_s$ , increasing green cover is needed as  $P$  increases (Fig. 3a). Similar nonlinear responses of  $\Delta T_s$  to changes in urban albedo and population density are also found (Fig. 3b, c, Supplementary Figs. 7, 8). These results suggest that cooling strategies focused on vegetation and albedo are more effective in regions with  $P < 1,000 \text{ mm yr}^{-1}$  because it is difficult to achieve  $\Delta T_s \leq 0.5 \text{ °C}$  at higher precipitation regimes. This work also suggests that the effect of population density on  $\Delta T_s$  is small in wet climates (for example, in megacities in SEA) when compared to the other factors, but it is maximized in arid regions where  $\Delta T_s$  can be mitigated by irrigation. Larger efforts or different strategies (for example, increasing albedo or convection efficiency) are needed in wet climates because the

replacement of natural vegetation with urban surfaces generates a much stronger contribution to urban warming<sup>11,14</sup>.

### Climate-sensitive urban planning

The importance of urban vegetation as ‘natural capital’ can hardly be disputed<sup>37</sup>, and its importance for providing heat stress relief at the neighbourhood scale is well known<sup>19</sup>. However, background climate conditions influence the efficiency of urban vegetation as a city-scale heat mitigation solution. Because urban–rural differences in ET increase with precipitation, under wet conditions almost the entire city area would need to be replaced with green surfaces to substantially decrease  $\Delta T_s$  (Fig. 3a). Furthermore, vegetation can reduce thermal comfort by increasing air humidity in hot tropical regions<sup>38</sup>, although if it offers shade it can still markedly enhance pedestrian comfort. Thermal comfort is associated with air and mean radiant temperatures,



**Fig. 3 | Impact of background climate on the efficiency of heat mitigation strategies.** **a–c**, Modelled sensitivity of  $\Delta T_s$  to changes in urban green cover (**a**), urban albedo (**b**), and population density (**c**). Panel **a** also shows binned data from EU and SEA cities (diamonds). Error bars

indicate s.d. Results are illustrated for  $I_{r,u} = 0.2$  and, in **b**, **c**, for a constant green cover  $g_{c,u} = 0.15$  (see Supplementary Figs. 7, 8 for the effects of different urban irrigation levels and  $g_{c,u}$  values).

air humidity, and wind speed rather than surface temperature alone<sup>39</sup>. Hence, while  $\Delta T_s$  is a good proxy for UHI intensity at the global scale, with the advantage of providing a theoretical basis for the factorization of the different mechanisms that regulate the surface energy balance<sup>7</sup>, climate-sensitive design of cities should also account for site-specific urban and climate characteristics as well as air–surface temperature feedback. Our global analysis inevitably sacrifices such fine-scale processes, and detailed numerical simulations remain essential to describe the complexity and heterogeneity of real cities from the building to the regional scale<sup>40,41</sup>. High-resolution simulations, however, are computationally expensive and require detailed information about city texture and building material, and municipalities around the world are often called upon to make planning decisions without any city-specific analysis. Hence, the coarse-grained approach here can provide a first order guideline on expected cooling effects that is valid across different regions, future climatic conditions, and population scenarios to complement micro-scale monitoring and modelling studies. Similarly, the parallel research track of detailed urban energy balance studies<sup>24,41,42</sup> can improve the presented coarse-grained representation of urban–biosphere interactions by providing refined urban and climate relations.

Given that urban vegetation improves the provision of other ecosystem services (for example, reduced pollution, improved health, recreation, biodiversity, shading, carbon sequestration and water regulation<sup>37,43</sup>) the full extent of its benefits cannot be evaluated on the basis of surface cooling alone. However, it is safe to state that heat mitigation strategies in urban environments that experience large precipitation should focus on maximizing shading<sup>38,44</sup> and ventilation<sup>45</sup> rather than evaporative cooling. As highlighted by previous studies<sup>7</sup> and confirmed by the results here, the aerodynamic properties of cities also help to regulate the intensity of UHIs. However, how complex, non-uniform, urban geometries influence the exchange of heat and momentum at the land surface is still a subject of open research, especially at scales that are relevant for urban design<sup>40</sup>. Our analysis confirms that albedo management is also a viable option to reduce warming at the city scale<sup>2,46</sup> but, given the seasonality of urban warming<sup>9</sup>, albedo modifications can promote winter cooling and increase energy demand, especially in cold regions<sup>41</sup>.

Hence, given the inefficiency of ‘one size fits all’ solutions<sup>47</sup> and the fact that cities will face higher costs for climate change adaptation due to UHIs<sup>48</sup>, urban planners should be well aware of the nonlinearities discussed here and explicitly incorporate population dynamics and different climatic contexts in the design of heat mitigation strategies. In a recent Environment Strategy, the Mayor of London has set the target of increasing the city’s green cover to 50% by 2050<sup>49</sup>. According to our results, this is a reasonable target to reduce warming in a city such as London, which is relatively dry compared to the tropics, but it is not sufficient to cool tropical cities in which warming is observed even when the green cover exceeds 50% (as in the case of Singapore). In warm arid and semi-arid regions, the intensity of UHIs is often negligible or even negative, as observed in Matera, which experiences a hot-summer Mediterranean climate. Yet, high background temperatures may pose serious risks for public health<sup>6</sup> and urban vegetation can be beneficial to strengthen negative UHIs further. The need for urban irrigation, however, can cause water scarcity that could be exacerbated as a result of future climate changes<sup>50</sup>, shifting the anthropogenic pressure on local water resources.

## Conclusions

The science of cities has proceeded through an interplay between scaling theories about size and population, energy and radiative conservation principles, aerodynamics, eco-hydrology, and the acquisition of diverse data sources at scales and resolutions unimaginable only three decades ago. Comprehensive analyses aimed at identifying the global patterns, trends and complex interactions that shape an urbanizing planet are certainly profiting from such an interplay, as demonstrated by the global analysis here. This study reveals that urban–rural systems

exhibit emergent global-scale behaviours that can be described by a coarse-grained representation of the underlying social and physical processes. Global climate change and population growth represent some of today’s major challenges for cities, and our approach offers a framework with which to forecast and mitigate the combined effects of these two stressors on the temperature of metropolitan areas worldwide. The intensity of summertime UHIs is shown to be nonlinearly modulated by mean annual precipitation and population size, with associated changes in heat release, albedo, convection efficiency, and evapotranspiration explaining the observed global patterns of urban–rural surface temperature anomalies. City-level strategies aimed at reducing warming should account for these inherent system nonlinearities as local climate–vegetation characteristics influence the efficiency of different cooling solutions being planned now and in the foreseeable future. Cooling the rapidly expanding tropical cities in Africa and South Asia remains a challenge that will require innovative design solutions beyond increasing urban green areas and modifying albedo.

## Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41586-019-1512-9>.

Received: 12 February 2019; Accepted: 24 June 2019;

Published online 4 September 2019.

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## METHODS

**Urban characteristics.** Global estimates of urban-induced changes in surface temperature were obtained from the Global Urban Heat Island Data Set 2013<sup>21</sup>. A surface UHI is defined as the land surface temperature (LST) difference between the urban area and a 10-km buffer region in the surrounding rural area ( $\Delta T_s$ ). The dataset includes zonal (that is, urban and buffer zones) averages of summer daytime maximum and nighttime minimum LST extracted from MODIS (Moderate Resolution Imaging Spectroradiometer) LST 8-day composites at 1-km resolution. This provides daytime and nighttime UHI intensities ( $\Delta T_{s,d}$  and  $\Delta T_{s,n}$ , respectively) for more than 30,000 cities. Summer is defined as the period July to August (2013) in the northern hemisphere and January to February (2013) in the southern hemisphere. The urban area is estimated from nighttime lights, settlement points, and their associated population counts in 1990, 1995 and 2000<sup>21</sup>. Hence, the focus is on urban agglomerates, which may include satellite cities and towns. This ensures the identification of consistent territorial units<sup>51</sup> and the validity of the urban scaling relations (for example, between population and urban areas<sup>51–53</sup>). In this study, population estimates for the year 2000 are used. Regarding the intensity of UHIs, summertime mean daily conditions are considered so that  $\Delta T_s = (\Delta T_{s,d} + \Delta T_{s,n})/2$ . The choice of daily average temperatures is motivated by two reasons: (i) to be consistent with the focus on climatic patterns and long-term averages (that is, daytime/nighttime conditions are smoothed over a seasonal timescale); (ii) to ensure that all the model assumptions are satisfied (for example, during daytime/nighttime heat storage effects are typically non-negligible and short-term fluctuations in wind speed and atmospheric stability become more relevant, see model development in the Supplementary Information). Observed daily and daytime UHI intensities differ in magnitude by 1–2°C but they exhibit the same global patterns (see Supplementary Fig. 3) and they fall within the confidence intervals of the model simulations (Supplementary Fig. 2). Thus, for the purpose of this study, daily  $\Delta T_s$  is considered an appropriate metric of UHI intensity. Similarly, studies on UHIs at climate scales<sup>16</sup> and heat-related mortality<sup>54</sup> typically focus on mean daily conditions, although nighttime UHIs can also have substantial impacts on public health<sup>55</sup>.

Urban–rural differences in albedo are calculated using 16-day shortwave black-sky (BSA) and white-sky (WSA) albedo values extracted from the MODIS albedo product (MCD43B3.005)<sup>56</sup>. The 16-day albedo values at a spatial resolution of 1 km are used to calculate monthly mean BSA and WSA in urban and buffer areas during the summer of 2013 (using the same urban extent polygons as the global UHI dataset). The monthly mean blue-sky albedo ( $\alpha$ ) is then determined with the direct radiation ratio and monthly mean BSA/WSA<sup>57</sup>. Note that the albedo of urban surfaces generally varies between 0.09 and 0.27<sup>3,58</sup>. From the literature, most cities have albedos in the range of 0.20–0.35 or, in the case of hot regions, 0.30–0.45<sup>59,60</sup>, and typical values of urban–rural albedo differences range between –0.09 and +0.03 (with a mean value of –0.05)<sup>3</sup>. MODIS observations confirm the overall range but suggest that, globally, the distribution of  $\Delta\alpha$  is skewed towards positive values, that is, cities on average are more reflective than their surroundings (Supplementary Fig. 9). A similar result was found for cities across North America<sup>2,14</sup>. MODIS data also reveal that both rural and urban albedo ( $\alpha$  and  $\alpha_u$ , respectively) decrease with increasing precipitation  $P$  (Supplementary Fig. 10). This rural trend can be explained by the increase in forest cover with increasing  $P$ , while the urban trend can be explained by the more widespread use of white surfaces in hotter and drier climates. A weak decrease in  $\alpha_u$  with population  $N$  is also observed (Supplementary Fig. 10), which is interpreted as the result of shading and radiation-trapping mechanisms associated with the 3D structure of cities<sup>5,61</sup>. However, MODIS-derived albedo is biased towards clear sky conditions, observations over cities have numerous uncertainties, and rural values can be influenced by water surfaces and nearby settlements. Therefore, the results here should be considered valid for general global patterns only.

Urban green cover data for 398 cities in the EU and 111 cities in SEA are retrieved from Eurostat<sup>20</sup> and Richards et al.<sup>22</sup>, respectively (Supplementary Fig. 11). Green urban area and population have superlinear scaling in EU cities<sup>52</sup>, whereas sublinear scaling is found in the tropics (Supplementary Fig. 12). It can be surmised that different greening patterns are observed in the two regions owing to different climatic and socio-economic factors (for example, population growth rates, development stage). These dissimilarities make it difficult to identify a unique relation that links green space area to urban characteristics at the global scale (Supplementary Fig. 12). Nevertheless,  $g_{c,u}$  clearly increases with mean annual precipitation (Supplementary Fig. 13), suggesting that local hydroclimate plays a key role in the amount of urban greenery.

**Background climate.** Monthly meteorological data for two-metre air temperature and surface temperature ( $T_a$  and  $T_s$ , respectively), incoming and net shortwave radiation ( $R_{sw}$  and  $R_{sw,net}$ ), wind speed ( $W_s$ ), specific humidity of air ( $q_a$ ), and atmospheric pressure ( $p_{atm}$ ) for the year 2013 are retrieved from the Modern Era Retrospective-Analysis for Research and Applications (MERRA)<sup>62</sup> and used to define background climate conditions (Supplementary Fig. 14). The spatial

resolution of MERRA ( $0.5^\circ \times 0.667^\circ$ ) ensures that climatic variables represent the background regional conditions without any influence from urban areas. Rural albedo is also computed from MERRA data as  $\alpha = 1 - R_{sw,net}/R_{sw}$  (for comparison with the MODIS albedo product) while specific humidity at saturation ( $q_{sat,s}$ ) is estimated from  $T_s$  and  $p_{atm}$ . Mean annual precipitation  $P$  and mean summertime precipitation  $P_s$  are retrieved both from the Global Precipitation Climatology Centre (GPCC) Full Data Reanalysis<sup>63</sup> and MERRA<sup>62</sup> (see Supplementary Fig. 15 for a comparison of the two datasets). Data confirm the strong spatial correlation between  $T_s$  and  $T_a$  (see Supplementary Fig. 16a, which is consistent with temporal correlations illustrated elsewhere<sup>9</sup>) and reveal robust relations that link background climate–vegetation characteristics to mean annual precipitation (Supplementary Figs. 16, 17).

**Data analysis.** To integrate data from different sources (see Supplementary Table 1 for summary), urban and climate variables are homogenized with the CIESIN dataset<sup>21</sup> considering the coordinates of each city (as latitude and longitude of the centroid of the urban extent). Specifically, meteorological variables retrieved from MERRA are interpolated on the city coordinates using a linear interpolation for two-dimensional gridded data. Green cover data are merged with the CIESIN data considering city names and coordinates (when available). All monthly time series are averaged during summer 2013. The use of multiple data sources introduces uncertainties because of possible discrepancies in methodology and/or urban boundaries. However, this study intentionally focuses on global averages rather than city-specific conditions so that random biases across cities and climates are minimized<sup>22</sup>.

A data binning procedure is employed to identify changes in  $\Delta T_s$  as a function of  $P$ ,  $T_s$ ,  $W_s$  and  $N$ . To remove the effect of population and analyse only climate signals,  $\Delta T_s$  data are filtered for  $N > N_{th}$ , with  $N_{th} = 10^5$  (thereby reducing the number of cities to 3,519). The scaling of  $\Delta T_s$  with  $N$  is determined for dry and wet conditions considering two precipitation thresholds (that is,  $P < P_{th,1}$  and  $P > P_{th,2}$ ; Fig. 1c, Supplementary Fig. 18). A sensitivity analysis is performed to assess the impact of different precipitation thresholds on the observed scaling (Supplementary Table 2). Given the large number of observations, binned results are illustrated in terms of mean values and related s.e.m. (where s.e.m. = s.d./ $\sqrt{n}$  and  $n$  is the number of observations).

Results presented in the Supplementary Information (Supplementary Fig. 19) corroborate previous studies showing that daytime and mean daily  $\Delta T_s$  values vary with  $P$  but nighttime UHIs are not correlated with precipitation<sup>2,16</sup>. Similarly, the observed nonlinear  $T_s - \Delta T_s$  relation does not hold during nighttime (Supplementary Fig. 20). These observations also demonstrate that changes in surface temperature  $\Delta T_s$  are more sensitive to mean annual precipitation  $P$  rather than mean summertime precipitation  $P_s$ , confirming that  $P$  here has to be interpreted as a proxy of the overall vegetation cover and hydroclimatic conditions of a given region. These are better described by annual precipitation rather than summertime precipitation. From a hydrological perspective, this is related to slowly evolving soil water dynamics that regulate ET fluxes during summer seasons<sup>64,65</sup> and to the existing covariation between annual precipitation and vegetation productivity<sup>66</sup> that controls the evaporation potential.

**Mathematical model.** Equation (1) is derived from the energy balance over a rural land-surface considering urbanization as a perturbation from the rural base state<sup>2</sup>. Model development and parameterization are presented in the Supplementary Information. Model variables and parameters are listed in Supplementary Tables 3, 4. Given the objective of exploring the sensitivity of  $\Delta T_s$  to as few as possible ‘summary variables’ (that is, mean annual precipitation  $P$  and urban population  $N$ ), a set of climate relations  $\Gamma_c = \Gamma_c(P)$  linking the meteorological variables  $\Gamma_c = \{T_a, T_s, \alpha, R_{sw}, q_{sat,s}, q_a\}$  to  $P$  is derived from fitting background climate data (using the nonlinear least-squares regression in MATLAB (*nlinfit* function)). A nonlinear relation between the urban vegetation fraction  $g_{c,u}$  and  $P$  is also derived from EU and SEA green cover data (Supplementary Fig. 13). Urban irrigation is modelled by means of an irrigation index  $I_{r,u}$  (Supplementary Fig. 21) that modulates ET varying between 0 (natural conditions) and 1 (no water supply limitations so that ET matches potential evapotranspiration). Changes in urban characteristics with city size are described by scaling laws that link urban area  $A_u$ , mean building height  $h_{c,u}$ , urban roughness, and urban anthropogenic heat  $Q_{ah,u}$  to  $N$ <sup>3,17,23</sup>. Previous studies reported a sublinear-to-linear scaling of urban area with population (scaling exponent varying between 0.56 and 1.04)<sup>51,53,67</sup>, which is confirmed here at the global scale (estimated exponent of 0.62–0.82, Supplementary Fig. 22). The mean building height and roughness are employed in the calculation of the aerodynamic resistance  $r_{a,u}$  (together with the building density  $\rho_b$ , Supplementary Fig. 23) and the effective emissivity  $\varepsilon_{s,u}$  of the urban surface (through the sky view factor  $v_{sky}$ , Supplementary Fig. 24). Anthropogenic heat  $Q_{ah,u}$  is calculated from population density<sup>3</sup>, that is,  $\rho_N = N/A_u$  (Supplementary Fig. 25).

The use of these urban scaling relationships and global climatic trends (Supplementary Tables 5, 6) with the surface energy balance provides a coarse-grained description of the urban-biosphere system. In analogy with statistical

physics, where temperature is a coarse-grained representation of the kinetic energy of a system of microscopic particles, our approach focuses on global space-time averages rather than single cities. Hence, the applicability of the model is limited for specific locations, especially when site characteristics play a dominant role in regulating local microclimate (for example, topography, ventilation, water bodies). In addition, supplementary results show that, while valid for a wide range of wind speed conditions, the applicability of the simplified approach might be limited at low  $W_s$  values because the increase in  $r_a$  causes an increase in UHI intensity associated with lower energy redistribution factors  $f_s$  and  $f_a$  (Supplementary Fig. 4). Possible effects of urbanization on local rainfall generation mechanisms<sup>42,68</sup> are also neglected. Despite these limitations, when the model assumptions are satisfied (that is, no local specific conditions) and accurate urban and climate data are available, the model can produce reasonable estimates of city-specific UHI intensities (G.M. et al., in preparation). Note also that the global analysis here focuses on summertime conditions only. This is motivated by (i) the CIESIN data availability that provides a homogenized dataset at the global scale and (ii) the fact that the risk of heat-related mortality is the highest during summer. However, given the observed seasonality of UHIs<sup>8,9</sup> and its implications for selecting different heat mitigation strategies<sup>41,69</sup>, our coarse-grained approach can be extended to describe the intra-annual variability in  $\Delta T_s$  (G.M. et al., in preparation). Additional information on the coarse-grained UHI model can be found in the Supplementary Information.

**Model calibration and validation.** Model calibration was performed as follows. First we generated a quasi-random set of 11 calibration parameters (see Supplementary Tables 3, 4) using the Sobol quasi-random sampling method (*sobolset* function in MATLAB). Then, we ran Monte Carlo simulations with the generated parameter set (1,000 samples) and compared the model results with the observed  $P-\Delta T_s$  relation (Fig. 1a). Calibrated parameters were selected by choosing the simulation with the highest coefficient of determination ( $R^2 = 0.74$ ). Model validation was performed by comparing observed and modelled changes in  $\Delta T_s$  with background temperature ( $R^2 = 0.81$ ) and population (Fig. 1b, c). Given that the green cover  $g_{c,u}$  was considered a calibration parameter but a nonlinear relation between urban green cover and precipitation also exists, model performance is assessed considering  $g_{c,u}$  both constant and proportional to  $P$  (Supplementary Fig. 2).

## Data availability

The Global Urban Heat Island Data Set 2013 is available at <https://doi.org/10.7927/H4H70CRF> (accessed on 7 December 2017). MERRA data were retrieved from <https://disc.gsfc.nasa.gov/daac-bin/FTPSubset2.pl> (downloaded on 4 March 2018) while GPCC data are available at <https://www.esrl.noaa.gov/psd/data/gridded/data.gpcc.html> (accessed on 13 September 2016). MODIS albedo data are available at [https://gcmd.nasa.gov/records/GCMD\\_MCD43B3.html](https://gcmd.nasa.gov/records/GCMD_MCD43B3.html) (accessed on 15 July 2018). Urban green cover data for EU and SEA cities are available, respectively, at [https://ec.europa.eu/eurostat/statistics-explained/index.php/Urban\\_Europe\\_-\\_statistics\\_on\\_cities,\\_towns\\_and\\_suburbs\\_-\\_green\\_cities#Further\\_Eurostat\\_information](https://ec.europa.eu/eurostat/statistics-explained/index.php/Urban_Europe_-_statistics_on_cities,_towns_and_suburbs_-_green_cities#Further_Eurostat_information) (accessed on 14 June 2017) and <https://doi.org/10.1016/j.landurbplan.2016.09.005> (accessed on 29 September 2017). A summary table containing the urban and climate characteristics of the cities analysed is also available on Code Ocean (<https://doi.org/10.24433/CO.9808462.v1>).

## Code availability

The MATLAB code (<https://www.mathworks.com/products/matlab.html>) of the coarse-grained UHI model is available on Code Ocean (<https://doi.org/10.24433/CO.9808462.v1>).

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**Acknowledgements** G.M. was supported by the The Branco Weiss Fellowship—Society in Science administered by ETH Zurich. E.B.-Z. acknowledges support by the US National Science Foundation under grant no. ICER 1664091, the SRN under cooperative agreement no. 1444758, and the Army Research Office under contract W911NF-15-1-0003 (program manager J. Barzyk). M.S. was supported by the Future Cities Laboratory at the Singapore-ETH Centre, which was established collaboratively between ETH Zurich and Singapore's National Research Foundation (FI 370074016), under its Campus for Research Excellence and Technological Enterprise programme. We thank P. Edwards, J. Carmeliet, C. Küffer, and D. Richards for help and discussions at the beginning of this research.

**Author contributions** G.M. designed the study, developed the model and conducted the analysis with contributions from S.F., G.G.K. and E.B.-Z. K.Y. and T.W.C. analysed albedo remote sensing observations. G.M. wrote the original draft of the manuscript with input from S.F., G.G.K. and E.B.-Z. M.S., K.Y., T.W.C., N.M. and P.B. reviewed and edited the manuscript. All authors discussed the results and contributed to the final version of the manuscript.

**Competing interests** The authors declare no competing interests.

## Additional information

**Supplementary information** is available for this paper at <https://doi.org/10.1038/s41586-019-1512-9>.

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**Peer review information** *Nature* thanks Lahouari Bounoua, Ben Crawford and Qihao Weng for their contribution to the peer review of this work.

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